



13. Give the following information relative to your current employer. If self-employed, so indicate:

Employment Dates      Name of Employer      Address (Street, City, State, Zip)

14. List your occupation here: \_\_\_\_\_ If self-employed, list type of business: \_\_\_\_\_

The following two (2) questions must be answered "yes" or "no". Give details in space provided.

15.

a. Has your license (or your spouse's license) ever been <b>denied, suspended or revoked</b> or <b>is a complaint pending</b> in any racing jurisdiction?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
b. Have you ever been fined \$100 or more or <b>discharged, expelled or ejected</b> from any race track by any racing official, or commission?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
c. Have you ever had any <i>permit</i> or license <b>denied, suspended or revoked</b> by any federal, state or local government agency?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>Date</b>	<b>State</b>	<b>Track</b>	<b>Specific Violation(s)</b>

16.

a. Have you (or your spouse) ever (a) <b>pleaded guilty</b> , pleaded nolo contendere, been found guilty or been <b>convicted</b> or (b) forfeited bail or been fined for any criminal offense, either <b>felony or misdemeanor</b> including driving under the influence of alcohol and/or drugs?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
b. Are there now any <b>indictments or complaints</b> pending against you (or your spouse) for any public offense?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
c. Are there any outstanding court-imposed judgements against you?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
d. Are you (or your spouse) currently on <b>parole or probation</b> ? If yes, probation ends: _____ (please indicate month, day and year)	<input type="checkbox"/> Yes <input type="checkbox"/> No			
<b>Date of Arrest</b>	<b>State</b>	<b>Arresting Agency</b>	<b>Offense</b>	<b>Outcome/Sentence</b>

17. If you will be appointing an **Authorized Agent**, please list agent's name here: \_\_\_\_\_

18. Number of horses or greyhounds in training? \_\_\_\_\_

19. Are you obligated to have worker's compensation insurance covering employees in connection with racing? If yes, indicate the following:

Company Name\*      Policy Number      Expiration Date      Name of Policyholder

\*(A copy of your worker's compensation certificate of insurance must be attached and submitted with this application.)

20. **Statement of Ownership (a, b, & c)**

a. List **only** horses or greyhounds that you plan to race this year owned wholly or in part by you or leased to you. If leased, or in partnership, so designate:

Horse/Greyhound Name	Age	Trainer's Full Name	Ownership Name on Registration Papers	% Owned

If additional space is needed in relation to any of the questions above, please use a separate sheet of paper and submit it with this form.

b. List all persons, corporations or any other legal entities holding any interest in the above listed horses or greyhounds.

Name	Address (street, city, state & zip)	Horse/Greyhound	Interest

c. Is your horse leased?  Yes  No

\* A copy of the lease agreement(s) must be attached and submitted with this application.

Note: Virginia requires all leases be notarized.

\_\_\_\_\_  
Name of Lessor (owner)

\_\_\_\_\_  
Name of Lessee

In making this application for a license to participate in racing, it is understood that an investigative report may be made whereby information is obtained through personal interviews with third parties, such as family members, business associates, financial sources, friends, neighbors, or others with whom you are acquainted. This inquiry includes information as to your character, general reputation, personal characteristics, which may be applicable. You have the right to make a written request within a reasonable period of time for a complete and accurate disclosure of additional information concerning the nature and scope of the investigation.

By submitting this application, I, the undersigned, do hereby (1) agree to abide by the rules and regulations of the pari-mutuel regulatory agency, the laws of the United States of America, Canada, state/provincial government, municipalities and other subdivisions thereof; and (2) agree to abide by any provisions regarding search and seizure which may be contained in any of the above-mentioned laws, rules and regulations, and I consent and waive any right I have to object to the search, within the grounds of a racetrack or racing association, of any premises or vehicle which I may occupy or control or have the right to occupy or control and of my person, property and effects and the seizure of any article the having of which may be forbidden.

I understand that participation in racing is a privilege, not a right, that any license issued pursuant to this form is subject to conditions precedent as set out in the Rules of Racing, and that my failure to comply therewith shall be grounds for immediate voidance or revocation of such license. By acceptance of said license, I agree to abide by the Rules of Racing and rulings or decisions of the Stewards with the knowledge that rulings or decisions of the Stewards shall remain in force until reversed or modified only by the authorized regulatory agency.

I hereby certify that, under the penalty of perjury, I have read the foregoing form and affirm that every statement contained therein is true and correctly set forth. I do hereby agree that my license may be removed at any time for misstatements or omissions in the foregoing form. I also agree to abide by and obey the rules and regulations and conditions of the authorized regulatory agency in the jurisdictions in which I am granted a license.

I expressly agree to be subject to the subpoena powers of the authorized regulatory agency or a written request issued in lieu of a subpoena and to provide the agency with any and all such information or documents which it may so request. This agreement shall extend to anything which relates to any matter which is the subject of an agency hearing or investigation.

**New York Applicants:** The authority to request personal information from you, including numbers such as Federal social security and Federal employer identification numbers, and the authority to maintain such information is found in Section 5 of the New York Tax Law. Disclosure of this information by you is mandatory. The principal purpose for which the information is collected is to enable the Department of Taxation and Finance to identify individuals, businesses and others who have been delinquent in filing tax returns or who may have understated their tax liabilities and to generally identify persons affected by the taxes administered by the Commissioner of Taxation and Finance. The information will be used for identification and licensing purposes and for any other purpose authorized by the New York State Racing and Wagering Board which may include use in a multi-state licensing database. An investigative consumer report may be requested in connection with this application. Your signature authorizes the Racing and Wagering Board to obtain such a report. You may ask in writing whether or not a report was requested and the name and address of the consumer reporting agency used.

I hereby certify, under penalty of perjury, that the foregoing information submitted in this form is true and correct to the best of my knowledge and belief.

By subscribing my name hereto I acknowledge that supplying false information in this form could result in prosecution.

\_\_\_\_\_  
**Signature of Applicant**

\_\_\_\_\_  
**Date**

**Notary Public**

Notary only applicable to the following states:  
**LA, MI, NH, NM, TX, WV**

Subscribed and sworn to before me this \_\_\_\_\_ Day  
day of \_\_\_\_\_ Month \_\_\_\_\_ Year . State of: \_\_\_\_\_  
County of: \_\_\_\_\_

Notary Public \_\_\_\_\_

Expiration Date \_\_\_\_\_

If additional space is required for any of the above questions, please use a separate sheet of paper and submit it with this form.

# Instructions for Applicant:

**GENERAL INFORMATION:** All participating jurisdictions listed on this page have agreed to accept this form in lieu of a state or provincial license form. The list of participating jurisdictions and fees below are current as of January 1, 1999. You may call the Association of Racing Commissioners International (RCI) or participating jurisdictions to inquire about any updates.

**APPLICATIONS:** Make copies of the completed application and either hand deliver or mail it along with the applicable fee (see fee structure for each jurisdiction below) to each participating jurisdiction where you are seeking a license. You are responsible for delivering this application and applicable fees to each jurisdiction. Participating jurisdictions **will not** forward copies of this application and fees to other jurisdictions.

**FINGERPRINTS:** Some states require that first-time licensees submit an RCI fingerprint card and the payment of a \$34 fee. You will submit a fingerprint card to only one of the participating jurisdictions listed below. To have fingerprint reports sent to all other jurisdictions to which you plan to apply, mark those jurisdictions on the back of the RCI fingerprint card. You may choose to get fingerprinted at a race track or by your local police or sheriff's office. Regardless of the location, be sure to use the RCI fingerprint card. Additionally, those renewing licensees may be required to submit fingerprints on a periodic basis. Applicants will be advised of requirements by each jurisdiction. Refer to the fee schedule below for directions regarding to whom checks should be made payable to and whether separate checks are required (one for your license and one for your fingerprints, if using the RCI fingerprint card).

**WHERE TO MAIL FORMS:** Completed fingerprints along with a check or money order for \$34 (no cash) may be mailed to the racing commission where you plan to race next or to the commission requiring your prints. This completed license form and applicable license fee must accompany the completed fingerprint card and \$34 fingerprint fee. The payment for fingerprints varies from jurisdiction to jurisdiction. Refer to the fee schedule below.

For RCI fingerprint cards, further information or questions on license fees, please contact the next jurisdiction in which you plan to apply for a license or the RCI headquarters at (606) 224-7070. You may also access this application through the RCI web sight at [www.arci.com](http://www.arci.com)

## Participating Jurisdictions and Fee Structure

(Code for Fees: O=Owner; H=Harness; TB=Thoroughbred; Q= Quarter Horse; GH=Greyhound)

<p><b>Arkansas State Racing Commission</b> P.O.Box 3076 Little Rock, AR 72203 Ph: 501-682-1467; Fax: 501-682-5273 O @ \$20 payable to ASRC No Prints Required</p>	<p><b>Jamaica Racing Commission</b> P.O. Box 309 Kingston 10, Jamaica Ph: 809-926-2718; Fax: 809-926-2207 O @ \$1,200 Jamaican dollars payable to JRC. No Prints Required</p>	<p><b>Nebraska State Racing Commission</b> P.O. Box 95014 Lincoln, NE 68509 Ph: 402-471-2577; Fax: 402-471-2339 O @ \$30 payable to NSRC Prints \$38 payable to NSRC</p>	<p><b>Puerto Rico Racing Sport Administration</b> c/o Administracion del Deporte Hipico Apartado 29156 65th Infanteria Station Rio Piedras, PR 00929-0156 Ph: 787-768-2005; Fax: 787-762-1105 NEW: O @ \$205; RENEW: O @ \$45 payable to PRRSA. No Prints Required</p>
<p><b>California Horse Racing Board</b> 1010 Hurley Way, Suite 300 Sacramento, CA 95825 Ph: 916-263-6000; Fax: 916-263-6042 O @ \$150 payable to CHRB Only State Prints Required</p>	<p><b>Kentucky Racing Commission</b> 4063 Iron Works Parkway Lexington, KY 40511-8434 Ph: 606-246-2040; Fax: 606-246-2039 O @ \$35 payable to KRC No Prints Required</p>	<p><b>New Hampshire Pari-Mutuel Commission</b> 244 North Main; Carrigain Commons, 3rd Fl Concord, NH 03301-5041 Ph: 603-271-2158; Fax: 603-271-3381 H-O @ \$10; TB/GH-O @ \$20; w/colors @ \$25 All payable to NHPMC. No Prints Required</p>	<p><b>Texas Racing Commission</b> 8505 Cross Park Drive, Suite 110 Austin, TX 78754-4594 Ph: 512-833-6699; Fax: 512-833-6907 O @ \$50 (1 YR LIC); \$100 (2 YR LIC); \$150 (3 YR LIC) payable to TXRC. Prints \$34 payable to TXRC</p>
<p><b>Colorado Division of Racing Events</b> 1881 Pierce Street, Suite 108 Lakewood, CO 80214-1494 Ph: 303-205-2990; Fax: 303-205-2950 O @ \$51 payable to CDRE Prints \$34 payable to RCI</p>	<p><b>Louisiana State Racing Commission</b> 320 North Carrollton Ave, Suite 2-B New Orleans, LA 70119 Ph: 504-483-4000; Fax: 504-483-4898 O @ \$25 (1 YR LIC); O @ \$75 (3 YR LIC) payable to LSRC. No Prints Required</p>	<p><b>New Jersey Racing Commission</b> P.O. Box 088 Trenton, NJ 08625 Ph: 609-292-0613; Fax: 609-599-1785 O @ \$50 payable to NJRC Prints \$34 payable to NJRC</p>	<p><b>Trinidad &amp; Tobago Racing Authority</b> Santa Rosa Park, Churchill Roosevelt Hwy O'Meara, Arima, Trinidad, W.I. Ph: 868-646-2004; Fax: 868-646-0103 O @ \$57.50 payable to TTRA in local currency. No Prints Required</p>
<p><b>Delaware Racing Commission</b> 2320 South DuPont Highway Dover, DE 19901 Ph: 302-739-4811; Fax: 302-697-4451 H-O @ \$10; TB-O @ 50 payable to DHRC Prints \$34 payable to DHRC</p>	<p><b>Maryland Racing Commission</b> 500 N Calvert St Baltimore, MD 21202 Ph: 410-230-6330; Fax: 410-333-8308 NEW: H/TB: O @ \$50; RENEW: O @ \$25 Payable to MRC. Only State Prints Required</p>	<p><b>New Mexico Racing Commission</b> P.O. Box 8576 Albuquerque, NM 87198 Ph: 505-841-6400; Fax: 505-841-6413 O @ \$100 (1 YR LIC) O @ \$120 (3 YR LIC) payable to NMRC. Prints \$34 payable to RCI</p>	<p><b>Vermont Racing Commission</b> 128 Merchants Row Rutland, VT 05701 Ph: 802-786-5050; Fax: 802-786-5051 H-O @ \$5; TB-O @ \$6 payable to VRC Prints \$34 payable to RCI</p>
<p><b>Illinois Racing Board</b> 100 West Randolph Street, Suite 11-100 Chicago, IL 60601 Ph: 312-814-2600; Fax: 312-814-5062 O @ \$25 payable to IRB Prints required on Illinois Card</p>	<p><b>Massachusetts State Racing Commission</b> 1 Ashburton Place, Room 1313 Boston, MA 02108 Ph: 617-727-2581; Fax: 617-227-6062 TB: O &amp; H: O @ \$30 payable to MSRC No Prints Required</p>	<p><b>New York State Racing and Wagering Brd</b> 1 Watervliet Ave Extension, Suite 2 Albany, NY 12206 Ph: 518-453-8460; Fax: 518-453-8492 NEW: O @ \$100; RENEW: O @ \$50 payable to NYSRWB with 2 self photos. Prints payable to NYSRWB</p>	<p><b>Virginia Racing Commission</b> 10700 Horsemen's Road New Kent, VA 23124 Ph: 804-966-7411; Fax: 804-966-7418 O @ \$10 payable to VRC Prints \$34 payable to RCI</p>
<p><b>Indiana Horse Racing Commission</b> c/o Hoosier Park; 4500 Dan Patch Circle Anderson, IN 46013 Ph: 765-683-2565; Fax: 765-683-2568 NO LICENSE FEES CHARGED Prints \$34 payable to IHRC</p>	<p><b>Michigan Office of Racing Commissioner</b> 37650 Professional Center Drive, Suite 105A Livonia, MI 48154-1100 Ph: 734-462-2400; Fax: 734-462-2429 O @ \$25 payable to State of Michigan Prints \$34 payable to State of Michigan</p>	<p><b>Ohio State Racing Commission</b> 77 South High Street, 18th Fl; State Tower Columbus, OH 43266-0416 Ph: 614-466-2757; Fax: 614-466-1900 O @ \$50 payable to OSRC Prints \$34 payable to OSRC</p>	<p><b>Washington Horse Racing Commission</b> 7912 Martin Way, Suite D Olympia, WA 98506 Ph: 360-459-6462; Fax: 360-459-6461 O @ \$165 payable to WHRC (includes \$150 payment for Worker's Comp) No Prints Required</p>
<p><b>Iowa Racing and Gaming Commission</b> One Prairie Meadows Dr., P.O. Box 1000 Altoona, IA 50009-0901 Ph: 515-967-1260; Fax: 515-967-1290 O @ \$10 payable to IRGC Prints \$34 payable to RCI</p>	<p><b>Mobile County Racing Commission</b> P.O. Box 1886 Mobile, AL 36633 Ph: 334-653-4820; Fax: 334-653-4850 O @ \$10 payable to MCRC No Prints Required</p>	<p><b>Ontario Racing Commission</b> 20 Dundas Street West, 9th Floor Toronto, Ontario M5G 2C2 Canada Ph: 416-327-0520; Fax: 416-325-3478 O @ \$42 U. S. Dollars payable to ORC Prints through OPP; No Print Fee</p>	<p><b>West Virginia Racing Commission</b> 106 Dee Drive Charleston, WV 25311 Ph: 304-558-2150; Fax: 304-558-6319 O @ \$12.50 payable to WVRC Prints \$34 payable to WVRC</p>